

Assessment of non-response in the health and sexual behaviour survey

1 Introduction

There are two types of errors that can affect a survey: Sample Errors and Non-Sampling Errors. The former can be calculated using statistical procedures, whilst the so-called **non-sampling errors**, which this document refers to, are hard to measure.

These errors appear in the different stages of the statistical process, and can appear before information is gathered (deficient frame, insufficient definitions or in the questionnaires, etc.), during the collection of information (incorrect fieldwork, incorrect statements or non-response of respondents) and, finally, in operations subsequent to fieldwork (errors when coding variables, recording questionnaires, etc.).

As aforementioned, it is very difficult to assess these errors, given the ample variety of causes that could originate them.

Among these causes, one of the most notable is the **non-response of the respondent units**, due to refusal to answer the questionnaire, absence, inability to answer of the whole household composing the respondent unit or dwelling inaccessible when the interview was carried out.

The respondent units considered in the *Health and Sexual Behaviour Survey* are persons aged between 18 and 49 years old.

An **assessment questionnaire** has been designed to analyse non-response in this survey, aiming to obtain information on the basic characteristics of the persons who have not taken part due to any of the aforementioned reasons.

This questionnaire is only completed for **incumbent** persons who, due to a certain incident, did not take part in the survey. It will not be completed for substitutes who were asked to take part in the survey to replace a certain person but were not able to respond either.

The questionnaire comprises four sections. The first section contains the data required to identify the person, i.e. the respondent unit. The second refers to the type of incident and the third indicates if the incumbent person has been replaced or not, entering the reference number of the substitute if applicable. Finally, the fourth section comprises a series of basic data on the person: sex, age, marital status, highest level of education obtained, situation as regards activity, nationality, country of origin if the incumbent person has a foreign nationality.

When an incumbent person has been replaced, the interviewers question as many substitutes as required until finding a person who will collaborate. This person is called the substitute and given a corresponding order number that will be entered on the assessment questionnaire.

Thanks to a computer programme, this order number can be used to obtain data for the substitutes who have replaced the incumbent persons.

If after all substitutes are interviewed, the incumbent person has not yet been supplanted, no replacement is used, thus leading to sample loss and a consequent

decrease of the precision of the estimators. In this case, the order number for the substitute is left blank.

2. Analysis of the data

Table 1 presents distributions by autonomous communities, of the theoretical sample of persons, the total effective sample (total number of persons interviewed) and effective sample of incumbent persons (total number of incumbent persons interviewed).

Table 1. Distribution of the theoretical and effective sample of persons by Autonomous Communities

| Autonomous Communities | Theoretical sample | | Effective sample | | | |
|---------------------------|--------------------|--------|------------------|-------|-------------------|-------|
| | Persons | % | Total | | Incumbent persons | |
| | | | Persons | % | Persons | % |
| Total | 13.600 | 100,00 | 10.980 | 80,74 | 5.263 | 38,70 |
| Andalucía | 1.800 | 100,00 | 1.561 | 86,72 | 820 | 45,56 |
| Aragón | 544 | 100,00 | 434 | 79,78 | 195 | 35,85 |
| Asturias (Principado de) | 488 | 100,00 | 450 | 92,21 | 219 | 44,88 |
| Balears (Illes) | 472 | 100,00 | 356 | 75,42 | 168 | 35,59 |
| Canarias | 672 | 100,00 | 535 | 79,61 | 230 | 34,23 |
| Cantabria | 392 | 100,00 | 354 | 90,31 | 158 | 40,31 |
| Castilla y León | 768 | 100,00 | 661 | 86,07 | 333 | 43,36 |
| Castilla-La Mancha | 624 | 100,00 | 544 | 87,18 | 262 | 41,99 |
| Cataluña | 1.552 | 100,00 | 1.071 | 69,01 | 475 | 30,61 |
| Comunidad Valenciana | 1.136 | 100,00 | 960 | 84,51 | 456 | 40,14 |
| Extremadura | 488 | 100,00 | 423 | 86,68 | 223 | 45,70 |
| Galicia | 784 | 100,00 | 692 | 88,27 | 325 | 41,45 |
| Madrid (Comunidad de) | 1.520 | 100,00 | 1.007 | 66,25 | 433 | 28,49 |
| Murcia (Región de) | 544 | 100,00 | 525 | 96,51 | 298 | 54,78 |
| Navarra (Comun. Foral de) | 400 | 100,00 | 306 | 76,50 | 151 | 37,75 |
| País Vasco | 736 | 100,00 | 594 | 80,71 | 271 | 36,82 |
| Rioja (La) | 352 | 100,00 | 249 | 70,74 | 121 | 34,38 |
| Ceuta y Melilla | 328 | 100,00 | 258 | 78,66 | 125 | 38,11 |

On a national level, the total effective sample represents almost 81 percent of the theoretical sample, whilst the effective sample of incumbent persons only represents 39 percent of the same. These figures indicate that only 39 percent of the total number of incumbent persons were interviewed. A very low figure if compared to that of other surveys, which reflects the complexity of this survey given the content of the questionnaire. Only 42 percent of the incumbent persons who presented incidents were replaced, thus elevating the total effective sample to 81 percent of the aforementioned theoretical sample.

On considering data by Autonomous Communities, there are only three, Murcia, Asturias Cantabria, with total effective sample percentages above 90 percent; in fact Murcia stands out with over 96 percent. The opposite occurs in Madrid and Cataluña, with total effective sample percentages below 70 percent, the former reaches 66 percent, whilst the latter amounts to 69 percent.

The situation does not differ greatly when considering the percentage of the effective sample of incumbent persons: Murcia has the highest percentage, almost 55 percent, with Extremadura and Andalucía taking second place, with percentages bordering on 46 percent. There are no variations in the figures for the communities with the lowest percentages either, with Madrid reaching 28.5 percent and Cataluña amounting to 30.6 percent.

Incidents have been divided into three groups (**table 2**) for analytical purposes: framework incidents, incidents pertaining to the human group living in the dwelling and incidents pertaining to the selected person.

Table 2. Distribution of incidents

| Type of incident | Incumbent persons | | Substitutes | |
|--|-------------------|--------------|-------------|--------------|
| | No. | % | No. | % |
| Total | 8.332 | 100,00 | 11.570 | 100,00 |
| Framework incidents | 2.864 | 34,37 | 3.595 | 31,07 |
| Non-surveyable dwelling | 557 | 6,69 | 694 | 6,00 |
| Person beyond the scope of study | 17 | 0,20 | 18 | 0,16 |
| Unreachable person | 2.290 | 27,48 | 2.883 | 24,92 |
| Human group incidents | 1.165 | 13,98 | 2.070 | 17,89 |
| Refusal | 450 | 5,40 | 475 | 4,11 |
| Absence | 706 | 8,47 | 1.580 | 13,66 |
| Inability to respond | 9 | 0,11 | 15 | 0,13 |
| Incidents regarding the selected person | 4.303 | 51,64 | 5.905 | 51,04 |
| Total refusal | 2.195 | 26,34 | 2.830 | 24,46 |
| Partial refusal | 27 | 0,32 | 22 | 0,19 |
| Refusal to use the computer | 5 | 0,06 | 17 | 0,15 |
| Absence | 1.918 | 23,02 | 2.833 | 24,49 |
| Inability to respond | 158 | 1,90 | 203 | 1,75 |

Two different variables have been considered in the framework incidents classification: incidents that affect the dwelling located at the selected person's postal address and incidents that affect the person directly. Empty dwellings, dwellings used for other purposes, unreachable and inaccessible dwellings have been considered non-surveyable dwellings.

Of the three groups of incidents, the most relevant refers to incidents pertaining to the selected person, which amounts to almost 52 percent of the total. The second most important corresponds to the framework incidents, reaching 34 percent of the total, whilst events pertaining to the human group are the least important from a quantitative viewpoint, since they only account for 14 percent of the total.

Considering incidents regardless of the classification they belong to shows that the most relevant corresponds to *unreachable persons*, representing 27 percent of the total. *Total refusal* and *absence* of the selected person appear in second and third place, with 26 and 23 percent respectively.

At this point, it is important to note, especially if comparing these incidents with those in other surveys, that the high percentage of unreachable persons is not only caused by framework flaws. It is partly due to the fact that many of the persons are not really unreachable, but simply registered in their parents' house whilst not living there when the survey was performed since they were studying, working, etc. in a different location. These persons have not registered at a different address since they do not consider it a permanent dwelling. These cases have been considered unreachable at the postal address established for the selected person. This data shows that all three aforementioned incidents represent 76 percent of the total incidents, i.e. the majority.

Table 2bis analyses incidents corresponding to the selected person, all three types of refusals (total, partial and resistance about using the computer) are considered as *Refusal*. This shows that non-response thus defined is quite balanced between refusals (52 percent) and absences (45 percent), with a practically insignificant number of persons unable to respond, as occurs in other surveys.

TABLE 2bis. Distribution of non-response

| Type of incident | Incumbent persons | | Substitutes | |
|----------------------|-------------------|--------|-------------|--------|
| | No. | % | No. | % |
| Total | 4.303 | 100,00 | 5.905 | 100,00 |
| Refusal | 2.227 | 51,75 | 2.869 | 48,59 |
| Absence | 1.918 | 44,57 | 2.833 | 47,98 |
| Inability to respond | 158 | 3,67 | 203 | 3,44 |

Before moving on to analyse the remaining tables, it is important to note that the total in table 2bis does not coincide with that of the subsequent tables (tables 3 to 7), since some assessment questionnaires have not been obtained. The latter tables have been prepared using information contained in the assessment questionnaires.

Likewise, it is important to note the existence of a high non-response percentage in the actual assessment questionnaire, given the aspects it aims to garner, especially in the case of the *economic activity* and *educational level*, as occurs in all surveys. In the tables this results in the fact that the total number of persons classified depending on the different characteristics researched is much lower than the quantity theoretically possible.

Table 3 analyses incidents according to the age and sex of the person selected. The non-response percentage is similar among men and women, albeit slightly superior for the former (52 and 48 percent, respectively). Data from the 2001 Census indicate that among the population aged between 18 and 49 years old,

50.6 are men and 49.4 are women. Thus, in view of these figures, the non-response rate is slightly concentrated among men.

Table 3. Distribution of non-response by sex and age of the selected person

| Sex/age | Total | | Type of incident | | | | | | 2001 |
|--------------------------|-------|--------|------------------|--------|---------|--------|------------------|--------|----------|
| | No. | % | Refusal | | Absence | | Inab. to respond | | Census % |
| | | | No. | % | No. | % | No. | % | |
| Total | 3.987 | - | 2.054 | - | 1.783 | - | 150 | - | |
| No data recorded for sex | 811 | 20,34 | 364 | 17,72 | 408 | 22,88 | 39 | 26,00 | |
| Total classified | 3.176 | - | 1.690 | - | 1.375 | - | 111 | - | 100,0 |
| Men | 1.651 | 51,98 | 789 | 46,69 | 802 | 58,33 | 60 | 54,05 | 50,6 |
| No data recorded for age | 394 | 12,41 | 165 | 9,76 | 220 | 16,00 | 9 | 8,11 | - |
| Men classified by age | 1.257 | 100,00 | 624 | 100,00 | 582 | 100,00 | 51 | 100,00 | 100,0 |
| 18 to 29 years old | 455 | 36,20 | 170 | 27,24 | 267 | 45,88 | 18 | 35,29 | 39,1 |
| 30 to 39 years old | 390 | 31,03 | 210 | 33,65 | 165 | 28,35 | 15 | 29,41 | 33,2 |
| 40 to 49 years old | 412 | 32,78 | 244 | 39,10 | 150 | 25,77 | 18 | 35,29 | 27,7 |
| Women | 1.525 | 48,02 | 901 | 53,31 | 573 | 41,67 | 51 | 45,95 | 49,4 |
| No data recorded for age | 384 | 12,09 | 213 | 12,60 | 163 | 11,85 | 8 | 7,21 | - |
| Women classified by age | 1.141 | 100,00 | 688 | 100,00 | 410 | 100,00 | 43 | 100,00 | 100,0 |
| 18 to 29 years old | 437 | 38,30 | 196 | 28,49 | 229 | 55,85 | 12 | 27,91 | 38,3 |
| 30 to 39 years old | 352 | 30,85 | 237 | 34,45 | 102 | 24,88 | 13 | 30,23 | 33,2 |
| 40 to 49 years old | 352 | 30,85 | 255 | 37,06 | 79 | 19,27 | 18 | 41,86 | 28,5 |

Analysing each type of incident shows that, whilst persons who are absent and unable to respond are mostly men, refusals predominate among women, with the greatest percentage difference appearing in the variable analysing absences (58 percent of the men and 42 percent of the women). Comparing these values with the aforementioned census data, it is possible to say that refusals tend to appear among women and absences or inabilities among men, with a greater rate of absences.

On analysing non-response in terms of the type of incident and age of the person, both sexes present the highest percentages of refusals in the *40 to 49 years old* age group, with more noticeable differences among the males.

If comparing percentages of refusals of males and females by age groups with the data registered in the 2001 Census, this incident is, in fact, concentrated in the section ranging *between 40 and 49 years old*, with greater intensity among the males.

As regards absences, the highest percentages appear for both sexes in the *18 to 29 years old* modality, with females ten points above males. On comparing these figures with the census values, the absences are, indeed, concentrated in this age group, especially among females.

Finally, given the low number of persons unable to respond, the data is not at all significant. Nevertheless, in males the highest percentage (35 percent) is shared by the following age groups: *18 to 29 years old* and *40 to 49 years old*. For females, the highest percentage (42 percent) appears in the *40 to 49 years old* age group. When comparing these figures and those included in the Census, this incident is concentrated in the *40 to 49 years old* age group, with greater intensity among females.

Non-response according to sex and marital status of the selected person is analysed in **table 4**.

Table 4. Distribution of non-response by sex and marital status of the selected person

| Sex/marital status | Total | | Type of incident | | | | | |
|-------------------------------------|-------|--------|------------------|--------|---------|--------|------------------|--------|
| | | | Refusal | | Absence | | Inab. to respond | |
| | No. | % | No. | % | No. | % | No. | % |
| Total | 3.987 | - | 2.054 | - | 1.783 | - | 150 | - |
| No data recorded for sex | 811 | 20,34 | 364 | 17,72 | 408 | 22,88 | 39 | 26,00 |
| Total classified | 3.176 | - | 1.690 | - | 1.375 | - | 111 | - |
| Men | 1.651 | 51,98 | 789 | 46,69 | 802 | 58,33 | 60 | 54,05 |
| No data recorded for marital status | 691 | 21,76 | 318 | 18,82 | 353 | 25,67 | 20 | 18,02 |
| Men classified by marital status | 960 | 100,00 | 471 | 100,00 | 449 | 100,00 | 40 | 100,00 |
| -Single | 486 | 50,63 | 193 | 40,98 | 265 | 59,02 | 28 | 70,00 |
| -Married | 461 | 48,02 | 271 | 57,54 | 178 | 39,64 | 12 | 30,00 |
| -Widowed | - | - | - | - | - | - | - | - |
| -Separated | 10 | 1,04 | 6 | 1,27 | 4 | 0,89 | - | - |
| -Divorced | 3 | 0,31 | 1 | 0,21 | 2 | 0,45 | - | - |
| Women | 1.525 | 48,02 | 901 | 53,31 | 573 | 41,67 | 51 | 45,95 |
| No data recorded for marital status | 704 | 22,17 | 416 | 24,62 | 274 | 19,93 | 14 | 12,61 |
| Women classified by marital status | 821 | 100,00 | 485 | 100,00 | 299 | 100,00 | 37 | 100,00 |
| -Single | 369 | 44,95 | 161 | 33,20 | 194 | 64,88 | 14 | 37,84 |
| -Married | 440 | 53,59 | 318 | 65,57 | 102 | 34,11 | 20 | 54,05 |
| -Widowed | 3 | 0,37 | 1 | 0,21 | - | - | 2 | 5,41 |
| -Separated | 7 | 0,85 | 3 | 0,62 | 3 | 1,00 | 1 | - |
| -Divorced | 2 | 0,24 | 2 | 0,41 | - | - | - | - |

As regards refusals, the highest percentages appear among *married* persons, with the percentage for females eight points above that of males.

As regards absences, the highest percentages appear among single persons, also with a higher percentage (six points) among females.

In terms of inability to respond, despite the low relevance, the highest percentages appear among *married females* and *single males*.

Table 4.bis shows the percentage distribution of the three components of non-response according to the marital status of the selected person, compared to the distribution of persons aged 18 to 49 years old taken from the 2001 Census. Comparing these figures shows that refusals appear mainly among married persons, absences among single persons and inability to respond mainly appear among single persons and, to a lesser degree, among widowers.

Table 4.bis. Percentage distribution of non-response by marital status of the person selected. Comparison with 2001 Census

| Marital status | Type of incident | | | 2001 Census |
|----------------|------------------|---------|----------------------|-------------|
| | Refusal | Absence | Inability to respond | |
| Single | 36,8 | 61,5 | 54,4 | 46,2 |
| Married | 61,6 | 37,3 | 40,5 | 49,2 |
| Widowed | 0,1 | 0,0 | 3,8 | 0,7 |
| Separated | 1,0 | 0,9 | 1,3 | 2,4 |
| Divorced | 0,5 | 0,3 | 0,0 | 1,4 |

As regards the economic activity of the selected person (**table 5.1**), most incidents affect persons who are *employed*. The other modalities appear a long way behind in the cases of refusals and absences. As regards inability to respond, the difference between the first and second modality (*another situation*) is quite a lot lower.

This table includes the percentage distribution of the population aged between 18 and 49 years old, according to economic activity, in line with data from the 2001 Census. Comparing these figures with those corresponding to incidents shows that refusals and absences appear among *employed* persons, whilst inability to respond appears in the modality *another situation*.

Table 5.1 Distribution of non-response by economic activity of the selected person

| Economic activity | Total | | Type of incident | | | | | | Censo 2001 |
|-------------------------------|-------|--------|------------------|--------|---------|--------|------------------|--------|------------|
| | | | Refusal | | Absence | | Inab. to respond | | |
| | No. | % | No. | % | No. | % | No. | % | |
| Total | 3.987 | - | 2.054 | - | 1.783 | - | 150 | - | |
| No data recorded econ. Activ. | 2.232 | 55,98 | 1.128 | 54,92 | 1.023 | 57,38 | 81 | 54,00 | |
| Total classified | 1.755 | 100,00 | 926 | 100,00 | 760 | 100,00 | 69 | 100,00 | 100,0 |
| Employed | 1.325 | 75,50 | 726 | 78,40 | 569 | 74,87 | 30 | 43,48 | 65,3 |
| Unemployed | 92 | 5,24 | 46 | 4,97 | 37 | 4,87 | 9 | 13,04 | 11,1 |
| Retired or pensioner | 10 | 0,57 | 6 | 0,65 | 3 | 0,39 | 1 | 1,45 | 1,7 |
| Housework | 99 | 5,64 | 81 | 8,75 | 9 | 1,18 | 9 | 13,04 | 10,5 |
| Another situation | 229 | 13,05 | 67 | 7,24 | 142 | 18,68 | 20 | 28,99 | 11,4 |

Table 5.2 presents a different perspective on these results, showing the weight of the different incidents in each of the modalities of the characteristic *economic activity*. Consequently, in all except *another situation*, the main incident is refusal, especially in the modality *Housework*, where it exceeds 80 percent. The *another situation* modality shows a greater incidence of absence, reaching 62 percent.

Table 5.2. Distribution of economic activity of selected person by type of incident

(Continúa)

| Type of incident | Total | | No data recorded | | Total class. | | Economic activity | |
|------------------|-------|--------|------------------|--------|--------------|--------|-------------------|--------|
| | No. | % | No. | % | No. | % | Employed | |
| | No. | % | No. | % | No. | % | No. | % |
| Total | 3.987 | 100,00 | 2.232 | 100,00 | 1.755 | 100,00 | 1.325 | 100,00 |
| Refusal | 2.054 | 51,52 | 1.128 | 50,54 | 926 | 52,76 | 726 | 54,79 |
| Absence | 1.783 | 44,72 | 1.023 | 45,83 | 760 | 43,30 | 569 | 42,94 |
| Inab. to respond | 150 | 3,76 | 81 | 3,63 | 69 | 3,93 | 30 | 2,26 |

(Conclusión)

| Type of incident | Economic activity | | | | | | | |
|------------------|-------------------|--------|---------|--------|-----------|--------|--------------|--------|
| | Unemployed | | Retired | | Housework | | Another sit. | |
| | No. | % | No. | % | No. | % | No. | % |
| Total | 92 | 100,00 | 10 | 100,00 | 99 | 100,00 | 229 | 100,00 |
| Refusal | 46 | 50,00 | 6 | 60,00 | 81 | 81,82 | 67 | 29,26 |
| Absence | 37 | 40,22 | 3 | 30,00 | 9 | 9,09 | 142 | 62,01 |
| Inab. to respond | 9 | 9,78 | 1 | 10,00 | 9 | 9,09 | 20 | 8,73 |

Nevertheless, it is important to consider the different percentages of the persons classified in each modality, which vary, for non-response as a whole, from 0.6 for retired persons to 75 percent of employed persons. This can have a negative bearing on the validity of the comparisons.

Table 6 analyses the distribution of non-response considering the highest level of education achieved by the person selected. In terms of refusals, the highest percentages appear among persons whose educational level is *primary education*, as occurs in others surveys (EAPS, Household Budgets Survey, Inability Survey, Use of Time Survey). *First cycle of secondary education* appears in second place, not far behind. Conversely, for absences, the highest percentage appears among the latter educational level, although *primary education* and *second cycle of secondary education* are also relevant groups. As regards inabilities to respond, the highest percentage corresponds to *primary education*, although there is also a high percentage of *illiterates*. This seems logical considering that illiteracy is one of the grounds stated when *unable to answer*.

Table 6. Distribution of non-response by educational level of selected person

| Educational level | Total | | Type of incident | | | | | | 2001 Census % |
|----------------------------------|-------|--------|------------------|--------|---------|--------|------------------|--------|---------------------|
| | No. | % | Refusal | | Absence | | Inab. to respond | | |
| | | | No. | % | No. | % | No. | % | |
| Total | 3.987 | - | 2.054 | - | 1.783 | - | 150 | - | |
| No data recorded for ed. Level | 2.751 | 69,00 | 1.356 | 66,02 | 1.287 | 72,18 | 108 | 72,00 | |
| Total classified | 1.236 | 100,00 | 698 | 100,00 | 496 | 100,00 | 42 | 100,00 | 100,0 |
| Illiterate | 39 | 3,16 | 18 | 2,58 | 10 | 2,02 | 11 | 26,19 | 0,7 |
| Primary education | 319 | 25,81 | 193 | 27,65 | 109 | 21,98 | 17 | 40,48 | 18,8 |
| 1st cycle secondary education | 309 | 25,00 | 172 | 24,64 | 132 | 26,61 | 5 | 11,90 | 31,4 |
| Intermediate vocational training | 119 | 9,63 | 71 | 10,17 | 46 | 9,27 | 2 | 4,76 | 6,5 |
| 2nd cycle secondary education | 224 | 18,12 | 115 | 16,48 | 106 | 21,37 | 3 | 7,14 | 16,4 |
| Advanced vocational training | 90 | 7,28 | 55 | 7,88 | 32 | 6,45 | 3 | 7,14 | 7,4 |
| University education | 136 | 11,00 | 74 | 10,60 | 61 | 12,30 | 1 | 2,38 | 18,8 |

Considering the 2001 Census data as reference values shows the refusals appear mainly among persons with *primary education*; absences are more balanced, mainly among persons with *second cycle secondary education*, *primary education* and *intermediate vocational training*. The inability to respond prevails among *illiterate persons* and people with *primary education*.

Non-response by nationality of the person selected can be analysed using **table 7**, which shows that –as well as a considerable amount of *no data recorded*–, the majority have a Spanish nationality; foreigners only amount to 5 percent of the sample. Consequently, both refusals and absences mainly appear among persons with a Spanish nationality, with percentages above 96 percent. On the other hand, 36 percent of the inability to respond appear among persons with a foreign nationality. This could be explained by the fact that they do not understand Spanish, which is another of the grounds causing *inability to respond*.

Table 7. Distribution of non-response by nationality of the selected person

| Nationality | Total | | Type of incident | | | | | | 2001 Census % |
|-----------------------------|-------|--------|------------------|--------|---------|--------|------------------|--------|---------------------|
| | No. | % | Refusal | | Absence | | Inab. to respond | | |
| | | | No. | % | No. | % | No. | % | |
| Total | 3.987 | - | 2.054 | - | 1.783 | - | 150 | - | |
| No data recorded for nation | 1.541 | 38,65 | 688 | 33,50 | 800 | 44,87 | 53 | 35,33 | |
| Total classified | 2.446 | 100,00 | 1.366 | 100,00 | 983 | 100,00 | 97 | 100,00 | 100,0 |
| Spaniards | 2.334 | 95,42 | 1.328 | 97,22 | 944 | 96,03 | 62 | 63,92 | 94,7 |
| Foreigners | 112 | 4,58 | 38 | 2,78 | 39 | 3,97 | 35 | 36,08 | 5,3 |

In the light of the 2001 Census data, it is possible to state that inabilities predominate among persons with a foreign nationality, most probably due to the fact that a great many of them do not speak Spanish, which leads to them being unable to respond.

Given the low number of persons with a foreign nationality, it was not considered relevant to analyse their country of origin. Furthermore, among these people, there is also a considerable number of *no data recorded*.

Tables 8 and 9 compare percentage distributions by level of education and economic activity, respectively, of the incumbent persons with non-response, of the substitutes and of the persons in the total effective sample.

In the first place, it is important to note that in **table 8** the total number of persons in the effective sample, 10,830, does not coincide with the number included in table 1, 10,980. This difference can be explained by the fact that for some of the people interviewed there is *no data recorded for educational level*. The fact that the number of substitutes is also greater than the number of incumbents with non-response is also notable. This can be explained by the fact that among the latter there is a very high percentage of *no data recorded for educational level* (69 percent), which does not apply to substitute households.

Table 8. Distribution of persons with non-response and substitutes by educational level

| Educational level | Incumbent persons with non-response | | Substitutes | | Persons in the total effective sample | |
|----------------------------------|--|-------|-------------|-------|--|--------|
| | No. | % | No. | % | No. | % |
| | Total classified | 1.247 | 100,00 | 5.640 | 100,00 | 10.830 |
| Illiterate | 39 | 3,13 | - | - | - | - |
| Primary education | 323 | 25,90 | 1.327 | 23,51 | 2.603 | 24,04 |
| 1st cycle secondary education | 311 | 24,94 | 920 | 16,30 | 1.830 | 16,90 |
| Intermediate vocational training | 119 | 9,54 | 680 | 12,05 | 1.274 | 11,76 |
| 2nd cycle secondary education | 226 | 18,12 | 952 | 16,87 | 1.784 | 16,47 |
| Advanced vocational training | 90 | 7,22 | 533 | 9,44 | 1.047 | 9,67 |
| University education | 139 | 11,15 | 1.228 | 21,76 | 2.292 | 21,16 |

After clarifying this point, although the differences between the three distributions are not too large, they are significant, with the main differences, around 10 percent, appearing in *university education* and *first cycle secondary education* between the distribution of incumbent households and the other two. In practice, persons with a low/average educational level are replaced by persons with a high educational level, especially persons with *first cycle secondary education* are replaced by persons with *university education*. Furthermore, illiterate persons have been removed from the effective sample, since illiteracy, as aforementioned, causes *inability to respond*.

The lowest differences, for all modalities, appear in the distribution of substitutes and the distribution of the total effective sample, probably due to the fact that the former are a subgroup of the latter, with a very similar behaviour.

Finally, comparing the distribution of persons by educational level in the 2001 Census (table 6) with the data from the total effective sample of the survey (table 8) shows that both offer slightly discordant figures. The greatest difference appears in the *first cycle secondary education* modality: the Census presents a percentage fourteen points higher than the survey. This would mean that, considering the census data as the correct information, the survey would

underestimate persons with said educational level and slightly overestimate persons with *primary education* and *intermediate vocational training*.

An analysis of the economic activity (**table 9**) shows that, as in table 8, the number of persons in the total effective sample does not coincide with the figure in table 1. This can be explained as before, when referring specifically to said table. The difference between the number of persons with non-response and the number of substitutes can also be explained by the reasons given for table 8.

Table 9. Distribution of persons with non-response and substitutes by economic activity

| Economic activity | Incumbent persons with non-response | | Substitutes | | Persons in the total effective sample | |
|----------------------|-------------------------------------|--------|-------------|--------|---------------------------------------|--------|
| | No. | % | No. | % | No. | % |
| Total classified | 1.771 | 100,00 | 5.632 | 100,00 | 10.807 | 100,00 |
| Employed | 1.331 | 75,16 | 3.847 | 68,31 | 7.436 | 68,81 |
| Unemployed | 92 | 5,19 | 649 | 11,52 | 1.242 | 11,49 |
| Retired or pensioner | 12 | 0,68 | 116 | 2,06 | 215 | 1,99 |
| Housework | 99 | 5,59 | 482 | 8,56 | 878 | 8,12 |
| Another situation | 237 | 13,38 | 538 | 9,55 | 1.036 | 9,59 |

In table 9, distributions of substitutes and persons in the total effective sample are very similar (as occurs with the educational level), whilst the differences between both distributions and that of incumbent households with non-response are greater, although fewer than in the educational level section. The most noticeable differences appear among employed and unemployed persons, with values reaching 6 percent.

In the effective sample, the percentage of retired persons, housework and, especially, the unemployed, has increased at the expense of persons in another situation and employed persons.

Comparing the distribution of persons according to the economic activity in the 2001 Census (see table 5.1) with that obtained from the total effective sample of the survey (table 9) shows that both offer similar figures. The greatest difference appears in the *employed persons* modality, in which the percentage appearing in the Census is only 3.5 points below that considered in the survey. Thus, one could say that the survey offers quite good estimates.

3 Estimate of the correction coefficient for differential non-response due to nationality

The correction coefficient for differential non-response measures the different behaviour of the groups of sample elements in terms of non-response. Specifically, it is the quotient of the reverse of the probability of response in each of the groups. If it is close to one, both groups have a similar behaviour. Values above one represent higher non-response in the numerator group, and values below one indicate greater non-response among the denominator group.

In order to perform the estimate, the theoretical sample of persons has been broken down to indicate persons interviewed (effective sample) and incidents. The latter have been structured in terms of framework incidents and incidents concerning people. The latter includes those linked to the human group living in the dwelling and to the person selected. Only incumbent persons have been considered in the effective sample and in the incidents, substitutes have been disregarded.

The initial approach was to separate persons, both interviewed and affected by an incident, into two groups:

- Extra-community citizens, in other words persons from outside the EU.
- Non extra-community citizens, which were, in turn, divided into two subgroups:
 - Spaniards
 - Persons from the EC who are not Spanish, henceforth Community citizens.

The previous breakdown has been prepared using the *country of nationality* stated in the Register. This information has not been included for one of the persons considered in the survey, since it was impossible to locate him/her in the Register.

Horizontal percentages (regarding the total number of persons in the theoretical sample with nationality, the total for each type of incident and the effective sample) and **vertical percentages** (regarding the theoretical sample with nationality in each group of persons) have been calculated both for extra-Community and non-Community citizens.

The estimate of the differential non-response correction coefficient has been calculated considering the theoretical sample in four different manners:

- Including all data: theoretical sample = effective sample + all incidents
- With refusals: theoretical sample = effective sample + refusals
- With absences: theoretical sample = effective sample + absences
- With refusals and absences: theoretical sample = effective sample + refusals + absences

Table 10 gathers the results obtained showing that, in the first place, extra-Community citizens only represent 7.5 percent of the total number of persons in the theoretical sample which have stated their nationality. The percentage is even lower for Community citizens, positioned at 1.3 percent.

It is also worth noting that:

- The percentage of empty dwellings is more prominent among dwellings inhabited by Community citizens (11.7 percent) than among dwellings accommodating extra-Community citizens (4.3 percent) and Spaniards (2.2 percent).
- The same occurs with *unreachable dwelling*, although percentages are somewhat lower.
- As regards *unreachable persons*, the highest percentages appear among extra-Community citizens (41.1 percent) than among Community citizens (28.7 percent) and Spaniards (14.7 percent).
- The percentage of refusals is a lot higher among Spaniards (21 percent) than among Community citizens (8.8 percent) and extra-Community citizens (6.1 percent).
- Absences are more prevalent among Community citizens (20.5 percent) and Spaniards (19.7 percent) than among extra-Community citizens (14.2 percent).
- The highest inability to respond percentages appear among Community citizens and extra-Community citizens (5.8 percent in each case), whilst the figure is irrelevant in the case of the Spaniards (0.8 percent). These differences are probably produced by language differences between people with foreign nationalities.
- The high level of incidents results in low percentages of persons interviewed, especially in terms of Community citizens, which hardly amount to 16 percent. The highest percentage has been obtained among Spaniards, which only amount to 40 percent. The number of extra-Community citizens amounts to 25 percent.
- The refusals ratios calculated show significant differences, which is not the case for refusals and absences considered jointly.
- Regarding the estimate of the differential non-response correction coefficient, the further it strays from the unit, the more incidents are considered, attaining a value of 1.60 percent. This is due to the tremendous relevance of the *unreachable person* incident among extra-Community citizens.

4 Conclusion

Summarising the previous paragraphs, the population group that presented the greatest number of incidents comprised employed persons aged from 40 to 49 years old, who are single or married, and have received *primary education*.

Table 10. Survey on health and sexual behaviour
(Nationality has been obtained from the Register for the whole sample)

| Persons | Total | Extracommunity citizens | | Non-extracommunity citizens | | | | | | | | | |
|---|---------------|-------------------------|------------|-----------------------------|--------------------|-------------|-------------|---|-------------|-------------|--------------|-------------|-------------|
| | | | | Total | Community citizens | | Spaniards | | | | | | |
| | | % hor. | % vert. | % hor. | % vert. | % hor. | % vert. | % hor. | % vert. | | | | |
| Theoretical sample (incumbent persons) | 13.600 | | | | | | | | | | | | |
| -Do not appear in Register | 1 | | | | | | | | | | | | |
| Theoretical sample with nationality | 13.599 | 1.018 | 7,5 | 12.581 | 92,5 | 171 | 1,3 | 12.410 | 91,3 | | | | |
| -Incidents | 8.336 | 764 | | 7.572 | | 144 | | 7.428 | | | | | |
| Framework:- Empty dwellings | 334 | 44 | 13,2 | 4,3 | 290 | 86,8 | 2,3 | 20 | 6,0 | 11,7 | 270 | 80,8 | 2,2 |
| - Dwell. used other purposes | 34 | 8 | 23,5 | 0,8 | 26 | 76,5 | 0,2 | 1 | 2,9 | 0,6 | 25 | 73,5 | 0,2 |
| - Inaccessible dwelling | 17 | 5 | 29,4 | 0,5 | 12 | 70,6 | 0,1 | 0 | 0,0 | 0,0 | 12 | 70,6 | 0,1 |
| - Unreachable dwelling | 172 | 21 | 12,2 | 2,1 | 151 | 87,8 | 1,2 | 14 | 8,1 | 8,2 | 137 | 79,7 | 1,1 |
| - Persons beyond scope of study | 17 | 2 | 11,8 | 0,2 | 15 | 88,2 | 0,1 | 0 | 0,0 | 0,0 | 15 | 88,2 | 0,1 |
| - Unreachable persons | 2.293 | 418 | 18,2 | 41,1 | 1.875 | 81,8 | 14,9 | 49 | 2,1 | 28,7 | 1.826 | 79,6 | 14,7 |
| Persons: | | | | | | | | | | | | | |
| -Absences | 2.624 | 145 | 5,5 | 14,2 | 2.479 | 94,5 | 19,7 | 35 | 1,3 | 20,5 | 2.444 | 93,1 | 19,7 |
| -Refusals | 2.678 | 62 | 2,3 | 6,1 | 2.616 | 97,7 | 20,8 | 15 | 0,6 | 8,8 | 2.601 | 97,1 | 21,0 |
| -Inability to respond | 167 | 59 | 35,3 | 5,8 | 108 | 64,7 | 0,9 | 10 | 6,0 | 5,8 | 98 | 58,7 | 0,8 |
| -Interviewed (effective sample) | 5.263 | 254 | 4,8 | 25,0 | 5.009 | 95,2 | 39,8 | 27 | 0,5 | 15,8 | 4.982 | 94,7 | 40,1 |
| | | | % | | | | | | | | | | % |
| Ref. extracom. theoretical sample | 62 | 19,6 | | | | | | Ref. +Abs. extracom. theoretical sample | | | 207 | 44,9 | |
| Total extracom. (eff. sample +ref.) | 316 | | | | | | | Total extracom. (eff. sample +ref. +abs.) | | | 461 | | |
| Ref. rest theoretical sample | 2.616 | 34,3 | | | | | | Ref. +Abs. rest theoretical sample | | | 5.095 | 50,4 | |
| Total rest (eff. sample +ref.) | 7.625 | | | | | | | Total rest (eff. sample +ref. +abs.) | | | 10.104 | | |

COEFFICIENT ESTIMATE (NON-RESPONSE DIFFERENTIAL TENDENCY)

| | Including all data | Including refusals | Including absences | Including refusals and absences |
|---|--------------------|--------------------|--------------------|---------------------------------|
| Pxt (extracom. citiz. theor. sample) | 1.018 | 316 | 399 | 461 |
| Pxr(extracom. citiz. eff. sample) | 254 | 254 | 254 | 254 |
| Pyt(non extracom. citiz. theor. sample) | 12.581 | 7.625 | 7.488 | 10.104 |
| Pyr(non extracom. citiz. eff. sample) | 5.009 | 5.009 | 5.009 | 5.009 |
| Value estimate(Pxt/Pxr)/(Pyt/Pyr) | 1,60 | 0,82 | 1,05 | 0,90 |

